

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization/Lobbying Firm ☐ Self Employed Individual

THE DUBERSTEIN GROUP, INC.

2. Address ☐ Check if different than previously reported

Address1 **2100 PENNSYLVANIA AVENUE, NW** Address2 **SUITE 500**
City **WASHINGTON** State **DC** Zip Code **20037** - Country **USA**

3. Principal place of business (if different than line 2)

City _____ State _____ Zip Code _____ - Country _____

4a. Contact Name

b. Telephone Number c. E-mail

5. Senate ID#

MICHAEL S. BERMAN

☐ International Number

(202) 728-1100

filingsberman@dubersteingroup.com

12675-140

7. Client Name ☐ Self ☐ Check if client is a state or local government or instrumentality

GOLDMAN, SACHS & CO.

6. House ID#

318110009

TYPE OF REPORT 8. Year **2009** Q1 (1/1 - 3/31) ☒ Q2 (4/1 - 6/30) ☐ Q3 (7/1-9/30) ☐ Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____ 11. No Lobbying Issue Activity ☐

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$5,000 ☐

\$5,000 or more ☒ \$ **100,000.00**

Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$5,000 ☐

\$5,000 or more ☐ \$ _____

14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only
- ☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
- ☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Filed Electronically Date 04/17/2009

Printed Name and Title Michael S. Berman, President

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

BAN

BANKING

(one per page)

16. Specific lobbying issues

Financial system stability and liquidity - implementation of the Emergency Economic Stabilization Act of 2008.

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Michael	Berman			<input type="checkbox"/>
Steven	Champlin		ExecFlrAsst, H. Maj. Whip; ExecDir, H.DemCauc.	<input type="checkbox"/>
Kenneth	Duberstein			<input type="checkbox"/>
Brian	Griffin		StaffAsst, DemPolicyComm; FlrAsst, MinoritySec	<input type="checkbox"/>
Brian	Griffin		Sr. Ldrship Advisor, Sen. Dorgan	<input type="checkbox"/>
Eric	Ueland		AsstEditor/Ed./Res.Dir, Sen.Rep.PolicyComm;	<input type="checkbox"/>
Eric	Ueland		PressSec/Economist/Policy&CommunicationsDir.	<input type="checkbox"/>
Eric	Ueland		Sen.Rep.PolicyComm;DCOS/COS,Sen.Asst.MajLd	<input type="checkbox"/>
Eric	Ueland		COS, Sen.RulesComm; TransitionStaff,	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Printed Name and Title

Michael S. Berman, President

ADDENDUM for General Lobbying Issue

BAN - BANKING

Name			Covered Official Position (if applicable)	New
First	Last	Suffix		
Eric	Ueland		Sen.Maj.Ldr; AsstCOS/COS, Sen.Maj.Ldr.	<input type="checkbox"/>
Marti	Thomas		Asst to COS to Maj. Ldr Gephardt	<input type="checkbox"/>
Marti	Thomas		Flr Aide & Exec.Flr Asst. to Maj. Ldr Gephardt	<input type="checkbox"/>
Marti	Thomas		Deputy Asst Sec Tax & Budget, Treasury	<input type="checkbox"/>
Marti	Thomas		Acting & Asst Sec. Legis. Affrs, Treasury	<input type="checkbox"/>
Daniel	Meyer		Admin. Asst, Cong. Vin Weber	<input type="checkbox"/>
Daniel	Meyer		Chief of Staff, Speaker Newt Gingrich	<input type="checkbox"/>
Daniel	Meyer		Asst. to President, Legis. Affrs, Pres. GW Bush	<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

Printed Name and Title Michael S. Berman, President

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

HCR

HEALTH ISSUES

(one per page)

16. Specific lobbying issues

Health IT, implementation of enacted law - Executive Branch actions regarding these issues.

17. House(s) of Congress and Federal agencies

☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Michael	Berman			<input type="checkbox"/>
Steven	Champlin		ExecFlrAsst, H. Maj. Whip; ExecDir, H.DemCauc.	<input type="checkbox"/>
Kenneth	Duberstein			<input type="checkbox"/>
Brian	Griffin		StaffAsst, DemPolicyComm; FlrAsst, MinoritySec	<input type="checkbox"/>
Brian	Griffin		Sr. Ldrship Advisor, Sen. Dorgan	<input type="checkbox"/>
Eric	Ueland		AsstEditor/Ed./Res.Dir, Sen.Rep.PolicyComm;	<input type="checkbox"/>
Eric	Ueland		PressSec/Economist/Policy&CommunicationsDir	<input type="checkbox"/>
Eric	Ueland		Sen.Rep.PolicyComm;DCOS/COS,Sen.Asst.MajLd	<input type="checkbox"/>
Eric	Ueland		COS, Sen.RulesComm; TransitionStaff,	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Printed Name and Title

Michael S. Berman, President

ADDENDUM for General Lobbying Issue

HCR - HEALTH ISSUES

Name			Covered Official Position (if applicable)	New
First	Last	Suffix		
Eric	Ueland		Sen.Maj.Ldr; AsstCOS/COS, Sen.Maj.Ldr.	<input type="checkbox"/>
Marti	Thomas		Asst to COS to Maj. Ldr Gephardt	<input type="checkbox"/>
Marti	Thomas		Flr Aide & Exec.Flr Asst. to Maj. Ldr Gephardt	<input type="checkbox"/>
Marti	Thomas		Deputy Asst Sec Tax & Budget, Treasury	<input type="checkbox"/>
Marti	Thomas		Acting & Asst Sec. Legis. Affrs, Treasury	<input type="checkbox"/>
Daniel	Meyer		Admin. Asst, Cong. Vin Weber	<input type="checkbox"/>
Daniel	Meyer		Chief of Staff, Speaker Newt Gingrich	<input type="checkbox"/>
Daniel	Meyer		Asst. to President, Legis. Affrs, Pres. GW Bush	<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

Printed Name and Title Michael S. Berman, President

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15. General issue area code

FIN

FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES

(one per page)

16. Specific lobbying issues

Troubled Assets Relief Program, all provisions and Congressional oversight - HR 384, To reform the Troubled Assets Relief Program of the Secretary of the Treasury and ensure accountability under such Program; HJRes 3, Relating to the disapproval of obligations under the Emergency Economic Stabilization Act of 2008; SJRes 5, A joint resolution relating to the disapproval of obligations under the Emergency Economic Stabilization Act of 2008.

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Treasury - Dept of, White House Office,

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Michael	Berman			<input type="checkbox"/>
Steven	Champlin		ExecFlrAsst, H. Maj. Whip; ExecDir, H.DemCauc.	<input type="checkbox"/>
Kenneth	Duberstein			<input type="checkbox"/>
Brian	Griffin		StaffAsst, DemPolicyComm; FlrAsst, MinoritySec	<input type="checkbox"/>
Brian	Griffin		Sr. Ldrship Advisor, Sen. Dorgan	<input type="checkbox"/>
Eric	Ueland		AsstEditor/Ed./Res.Dir, Sen.Rep.PolicyComm;	<input type="checkbox"/>
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Eric	Ueland		Sen.Rep.PolicyComm;DCOS/COS,Sen.Asst.MajLd	<input type="checkbox"/>
Eric	Ueland		COS, Sen.RulesComm; TransitionStaff,	<input type="checkbox"/>

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Economic stimulus - all provisions of HR 1, Making supplemental appropriations for job preservation and creation, infrastructure investment, energy efficiency and science, assistance to the unemployed, and State and local fiscal stabilization, for fiscal year ending September 30, 2009, and for other purposes.

Administration proposals regarding overhaul of financial services regulation - potential legislation; Executive Branch actions regarding this issue.

Printed Name and Title Michael S. Berman, President

ADDENDUM for General Lobbying Issue

FIN - FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES

Name			Covered Official Position (if applicable)	New
First	Last	Suffix		
Eric	Ueland		Sen.Maj.Ldr; AsstCOS/COS, Sen.Maj.Ldr.	<input type="checkbox"/>
Marti	Thomas		Asst to COS to Maj. Ldr Gephardt	<input type="checkbox"/>
Marti	Thomas		Flr Aide & Exec.Flir Asst. to Maj. Ldr Gephardt	<input type="checkbox"/>
Marti	Thomas		Deputy Asst Sec Tax & Budget, Treasury	<input type="checkbox"/>
Marti	Thomas		Acting & Asst Sec. Legis. Affrs, Treasury	<input type="checkbox"/>
Daniel	Meyer		Admin. Asst, Cong. Vin Weber	<input type="checkbox"/>
Daniel	Meyer		Chief of Staff, Speaker Newt Gingrich	<input type="checkbox"/>
Daniel	Meyer		Asst. to President, Legis. Affrs, Pres. GW Bush	<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

Printed Name and Title Michael S. Berman, President